



**THE OHIO BOARD OF
SPEECH-LANGUAGE PATHOLOGY
AND AUDIOLOGY**

**FY 2005 ANNUAL REPORT TO THE
GOVERNOR**

JULY 1, 2004 THROUGH JUNE 30, 2005



November 2005



Ohio Board of Speech-Language Pathology and Audiology

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A Letter to the Governor

Dear Governor Bob Taft:

It is my pleasure to submit on behalf of the Ohio Board of Speech-Language Pathology and Audiology, this FY 2005 Annual Report. The Report, filed in compliance with R.C. Section 4753.05(D), lists the significant actions taken by the Board.

All of the activities of the Board in FY 2005 were focused on the fulfillment of our mission to protect the Ohio consumers of speech-language pathology and audiology services through the regulation of the practices of these professions in our state. Significant progress was made on the Board's Strategic Plan of 2002 and new goals were established by the Board for the next several years... Throughout the year the Board adopted policies and procedures designed to ensure the protection of consumers and serve the needs of the professions. The Board also met all other obligations imposed by R.C. Chapter 4753 by such Activities as issuing Licenses to qualified applicants, taking disciplinary actions as appropriate, and taking steps to improve communications with licensees and professional associations.

FY 2005 was a year of growth for the Board. Thank you for your continued support for our efforts to administer and enforce Revised Code Chapter 4753.

Sincerely,

Michael J. Setty
Executive Director
Ohio Board of Speech-Language Pathology and Audiology

BOARD MATTERS

There is hereby created the board of speech-language pathology and audiology consisting of eight members of this state to be appointed by the governor with the advice and consent of the senate. *Terms of office shall be for three years, *** (R.C. Section 4753.03)**

The Board serves Ohio's consumers of speech-language pathology and audiology services by ensuring that Ohio practitioners meet minimum competency standards for admission into the practice, maintain competency throughout practice, and practice within ethical standards.

BOARD MEMBERS DURING FY 2004

Patrick N. Mangino, Au.D. Chairperson: Licensed Audiologist
Westerville Term Expiration: September 26, 2007

Davida Parsons, M.A., Vice-Chair: Licensed Speech-Language Pathologist
Athens Term Expiration: September 26, 2006

Debra Abel, Au.D. Chairperson: Licensed Audiologist
Alliance Term Expiration: September 26, 2005
(Resigned January 2005)

Patricia Zurlinden, M.A.: Licensed Speech-Language Pathologist
Cincinnati Term Expiration: September 26, 2006

Susan O'Brien: Public Member
Columbus Term Expiration: September 26, 2007

Carol PrahL Leslie, Ph.D.: Licensed Speech-Language Pathologist
Cincinnati Term Expiration: September 26, 2007

Valenta Ward-Gravely, Au.D. Licensed Audiologist
Shaker Heights Term Expiration: September 26, 2007

Ann Shotwell: Public Member
Cleveland Term Expiration: September 26, 2007

Dawn Gleason, Au.D. : Licensed Audiologist
Hilliard Term Expiration: September 26, 2005
Appointed May 2005

BOARD STAFF

Michael J. Setty	Executive Director
Doug Hart	Licensing Administrator
Vickie DiCesare	Investigator
Deborah Howard	Administrative Assistant
Dominic Chieffo	Assistant Attorney General

Meetings

The board of speech-language pathology shall hold at least one regular meeting a year, at which it shall elect a chairman and vice-chairman from among its members. * (R.C. Section 4753.04)**

The Board met seven times during FY 2005. Five meetings were held at the Vern Riffe Center, 77 South High Street, Columbus, Ohio. The January meeting was held in Huron, Ohio and included a strategic planning retreat. The March meeting was held at the Easton Hilton in conjunction with the Ohio Speech, Language & Hearing Association Convention.

An Open Forum for the Public and Professional Associations was held at each meeting.

Significant actions of the Board during FY 2003 include the following:

- Implemented the CAVU licensing system.
- Offered on line license renewal with a 55% participation rate.
- Published four Newsletters to the licensees.
- Developed and approved a Strategic Plan.
- Made improvements in the Board's Website.
- Endorsed revisions to R.C. 4753 for Audiology licensure.

Licensure Matters

On June 30, 2005 the Board's licensees numbered as follows:

Speech-Language Pathologists	5,197
Audiologists	846
Conditional Speech-Language Pathologists	235
Conditional Audiologists	29
Speech-Language Pathology Aides	13
Audiology Aides	72

Compliance Activities

The Board shall investigate all alleged irregularities in the practice of speech-language pathology and audiology by persons licensed pursuant to this chapter and any violation of this chapter or rules adopted by the board. * (O.R.C. section 4753.05 (E)).**

During the fiscal year 2005, a process of Board oversight of investigative activity was continued, with the Board's Vice-Chairperson serving as the Board member on the investigative review group. Other members of the committee include the Board's Assistant Attorney General, the Executive Director, and the Investigator. All other Board members, except for the Vice-Chairperson, are excluded from the details of complaints and decisions on the committee's recommendation to either close the case or take appropriate actions in compliance with O.R.C. Section 119.

INVESTIGATIONS

Investigation Totals for Fiscal Year 2005

Cases opened	28
Cases closed	35
Cases carried over into FY 2006	17

Case Actions in Fiscal Year 2005

Hearings Conducted	2
Goldman Hearings	3
Consent Agreements	12
Cease & desist letters	3
Withdraw 119 Notice	4
(2 were requested based on compliance, 1 withdrew app.)	
Referred to Prosecutor	4
(2 still pending)	
Declined to prosecute	1
Court Conviction	1
Closed – no actionable violation	7

Category Types of New Cases for Fiscal Year 2005	
Unlicensed Individuals	6
Applicants	4
Audiologists	4
Speech-Language Pathologists	12
Aides	2

Alleged Violations for New Cases in FY 2005	
Unprofessional conduct	3
Falsification of application	
Suspended license from another state	
Requirements not met for application	6
Misrepresentation	3
Applicant lacking CEU's for renewal	1
Unethical practice	
Fraud/Falsifying documents	1
Unlicensed Practice	14
Other complaints	

Financial Activities

Revenues for the Board are derived from license applications, license renewals and late service fees. License renewal is conducted on a biennial basis. In FY 2004 license renewal took place. Revenues generated from the renewal provide the bulk of funding for expenses for two fiscal years. The Board continued to operate in a fiscally responsible manner in meeting its obligations. Revenues are placed in the 4K9 Fund, which holds monies collected from many of the regulatory boards. The Board of Speech-Language Pathology and Audiology had a balance of \$852,000 as of June 30. The high balance was the result of accumulated fees generated by the addition of over 1000 school based licensees since 2002. A proposed change for renewal fees to be decreased in the next two renewal periods will be made to bring the surplus down.

FY 2005

Revenue	Expenditures	Net
\$951,992	\$400,119	\$551,873

Board Activities

The Board participated in a Strategic Planning Retreat in January and developed a two year plan for improving operations and meeting future challenges. The Plan lays out a framework of goals to be met that will benefit the consumers of Audiology and Speech-Language Pathology services in Ohio. It also reflected the technological changes that the Board has embraced in its operations.

The Ohio E-License system was made fully operational which enabled the Board to offer on-line license renewals for the first time. The participation rate was over 55%, which was an outstanding result for the first time offering.

The Board's website was enhanced to provide more timely information to our licensees.

